

Docket No. 198334US2

IN RE APPLICATION OF: Kazuhisa MASTHARA, et al.

SERIAL NO: 09/741,824

FILED: DECEMBER 22, 2000

FOR: ARRAYED WAVEGUIDE GRATING TYPE OPTICAL MULTIPLEXER/DEMULITPLEXER AND...

ASSISTANT COMMISSIONER FOR PATENTS
WASHINGTON, D.C. 20231

SIR:

Transmitted herewith is an Preliminary Amendment w/ Marked-Up Copy in the above-identified application.

No additional fee is required
 Small entity status of this application under 37 C.F.R. §1.9 and §1.27 is claimed.

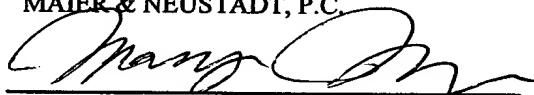
Additional documents filed herewith: IDS/Related Cases; List of Related Cases; Cited Pending Applications (10)

The Fee has been calculated as shown below:

CLAIMS	CLAIMS REMAINING		HIGHEST NUMBER PREVIOUSLY PAID	NO. EXTRA CLAIMS	RATE	CALCULATIONS
TOTAL	45	MINUS	20	25	× \$18 =	\$450.00
INDEPENDENT	9	MINUS	4	5	× \$84 =	\$420.00
		<input type="checkbox"/> MULTIPLE DEPENDENT CLAIMS			+ \$280 =	\$0.00
					TOTAL OF ABOVE CALCULATIONS	\$870.00
		<input type="checkbox"/> Reduction by 50% for filing by Small Entity				\$0.00
		<input type="checkbox"/> Recordation of Assignment			+ \$40 =	\$0.00
					TOTAL	\$870.00

A check in the amount of **\$870.00** is attached.
 Please charge any additional Fees for the papers being filed herewith and for which no check is enclosed herewith, or credit any overpayment to deposit Account No. 15-0030. A duplicate copy of this sheet is enclosed.
 If these papers are not considered timely filed by the Patent and Trademark Office, then a petition is hereby made under 37 C.F.R. §1.136, and any additional fees required under 37 C.F.R. §1.136 for any necessary extension of time may be charged to Deposit Account No. 15-0030. A duplicate copy of this sheet is enclosed.

OBLON, SPIVAK, McCLELLAND,
MAIER & NEUSTADT, P.C.


Gregory J. Maier
Registration No. 25,599

Masayasu Mori
Registration No. 47,301



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Tel. (703) 413-3000
Fax. (703) 413-2220
(OSMMN 10/00)

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